

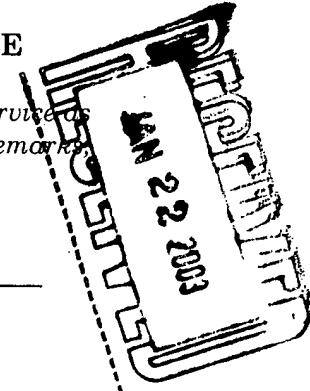


4
BT
COPY OF PAPERS
ORIGINALLY FILED
01-23-03
2611
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on May 24, 2002.

Daryl A. Albus



Applicant : Karl Thiele
Application No. : 10/003,904
Filed : November 1, 2001
Title : DATA MANAGEMENT FRAMEWORK FOR POLICY MANAGEMENT

RECEIVED

JUN 11 2002

Technology Center 2600

Grp./Div. : 2611
Examiner : to be assigned
Docket No. : 41856/JEC/X2/134052

RECEIVED

JAN 17 2003

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
May 24, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

The Orchestream reference includes no date, but concedes that it is prior art for purposes of prosecution only. Applicant reserves the opportunity to swear behind any of the cited references should a dispute arise outside of prosecution.

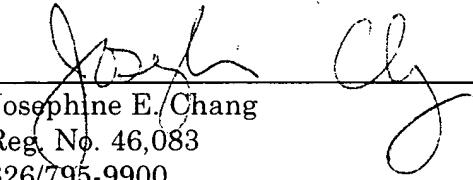
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered

Application No. 10/003,904

in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

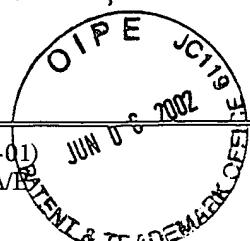
By 

Josephine E. Chang
Reg. No. 46,083
626/795-9900

JEC/daa

Enclosures: PTO/SB/08A/B, w/references

DAA PAS437458.1-* 5/24/02 2:22 PM



FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	41856/JEC/X2
Application Number	10/003,904
Filing Date	November 1, 2001
Applicant(s)	Karl Thiele
Group Art Unit	2611
Examiner Name	to be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
				RECEIVED
				RECEIVED
			JAN 17 2003	JUN 11 2002

Technology Center 2100 Technology Center 2600

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Novell ManageWise; 2.7 Management Services product flyer; 2000; 2 pp.
		Solstice Enterprise Manager 3.0; product overview; Sun Microsystems Solaris; 1998; 2 pp.
		Orchestream Service Activator; Switching on new network services; 6 pp.
		hp OpenView extensible SNMP agent product brief; June 2001; pp. 1-3

EXAMINER SIGNATURE		DATE CONSIDERED
--------------------	--	--------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/daa

DAA PAS437460.1-* 5/24/02 2:26 PM